



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

KNOLL, JOAN et al.

Serial No. :09/854,867

Filed: May 14, 2001

SINGLE COPY GENOMIC
HYBRIDIZATION PROBES AND
METHOD OF GENERATING SAME

Docket No.30307A

Group Art Unit No. 1634

Examiner: C. Myers

Commissioner of Patents
Alexandria, VA 22313-1450


Sir:

PETITION TO THE COMMISSIONER
UNDER 37 CFR § 1.84(a)(2) TO ACCEPT PHOTOGRAPHS

Applicant hereby petitions the Commissioner of Patents and Trademarks, under 37 CFR § 1.84(a)(2) to accept these photographs into the above-referenced application. Enclosed herewith are three (3) sets of photographs to be entered into the above-referenced application; along with one set of black and white copies that accurately depicts, to the extent possible, the subject matter shown in the color drawings. A check in the amount of \$130.00 in accordance with § 1.17 (h). Applicant requests favorable consideration of this petition

Any additional fees which are due in connection with this Petition should be applied against our Deposit Account No. 19-0522.

Respectfully submitted,

By 
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12/30/2004 AWONDAF1 00000126 09854867

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ATTORNEYS FOR APPLICANT(S)

BEST AVAILABLE COPY

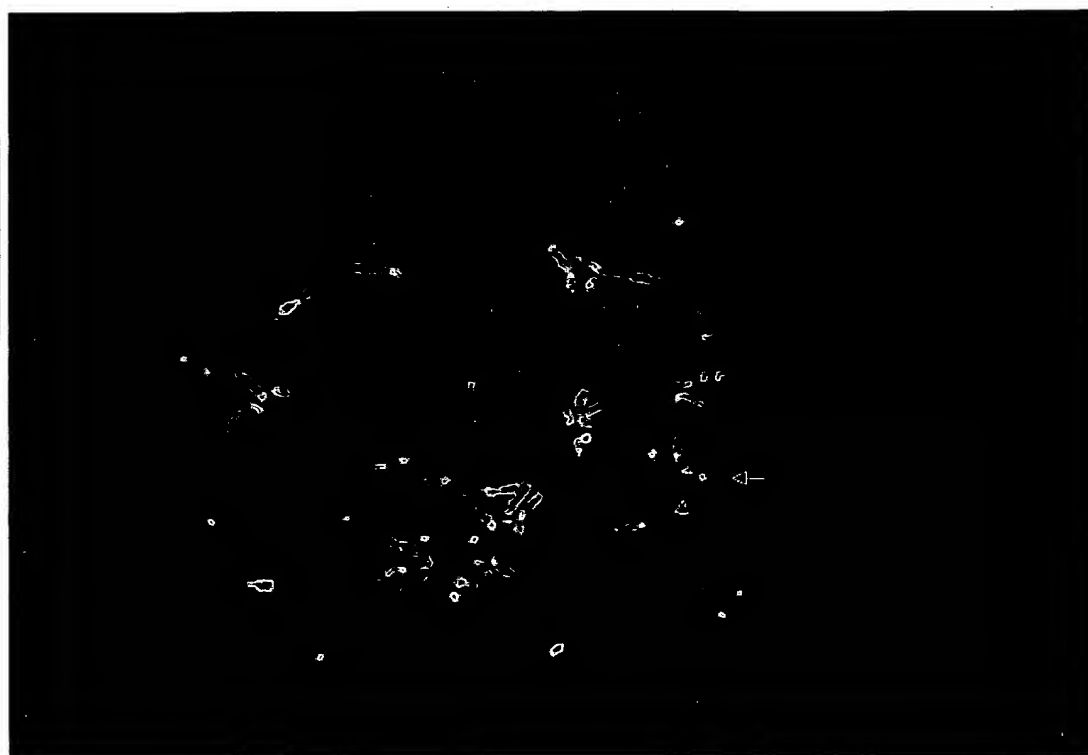


Fig. 1

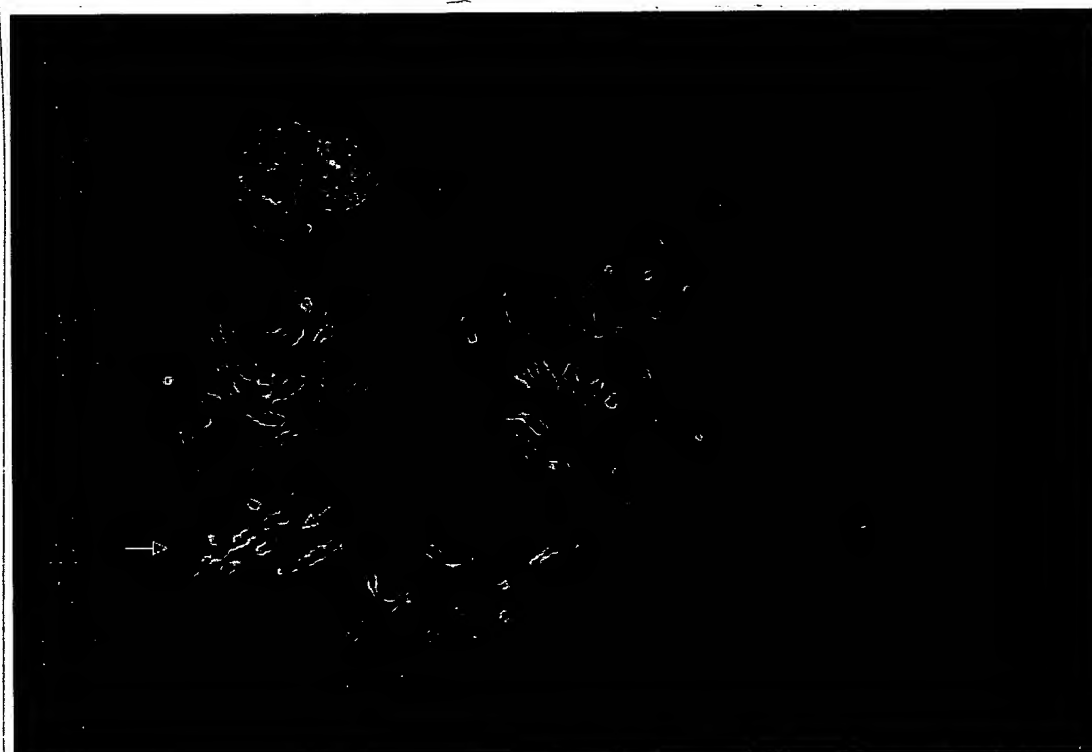


Fig. 2

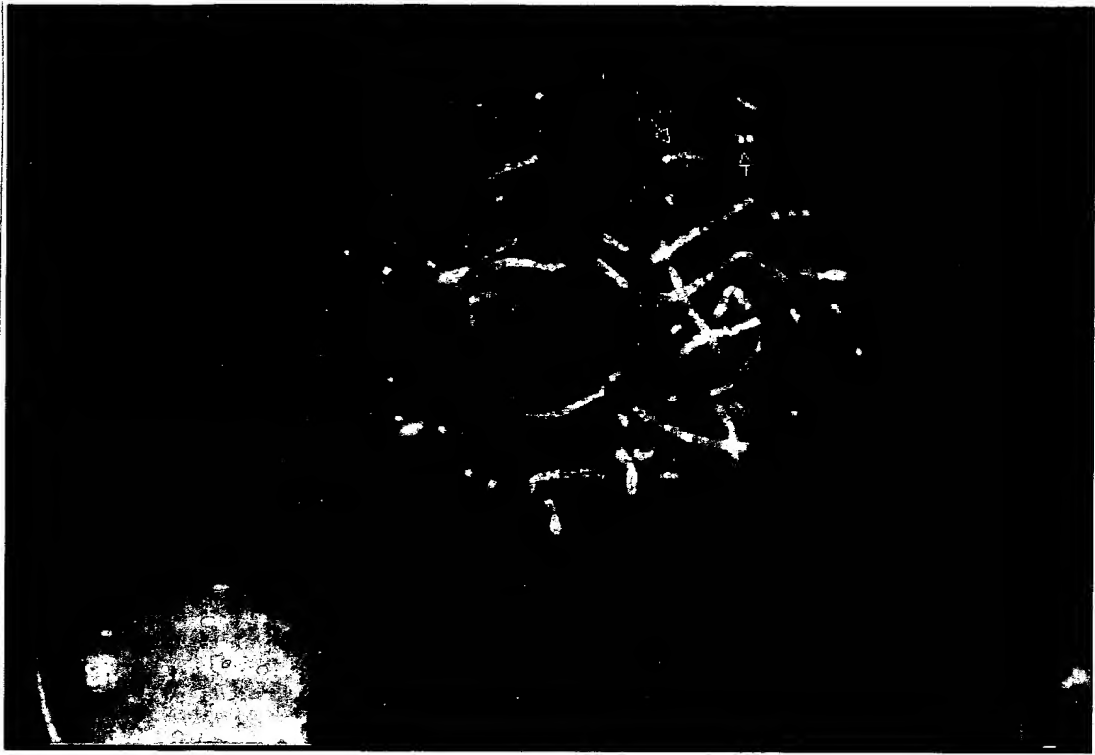


Fig. 3

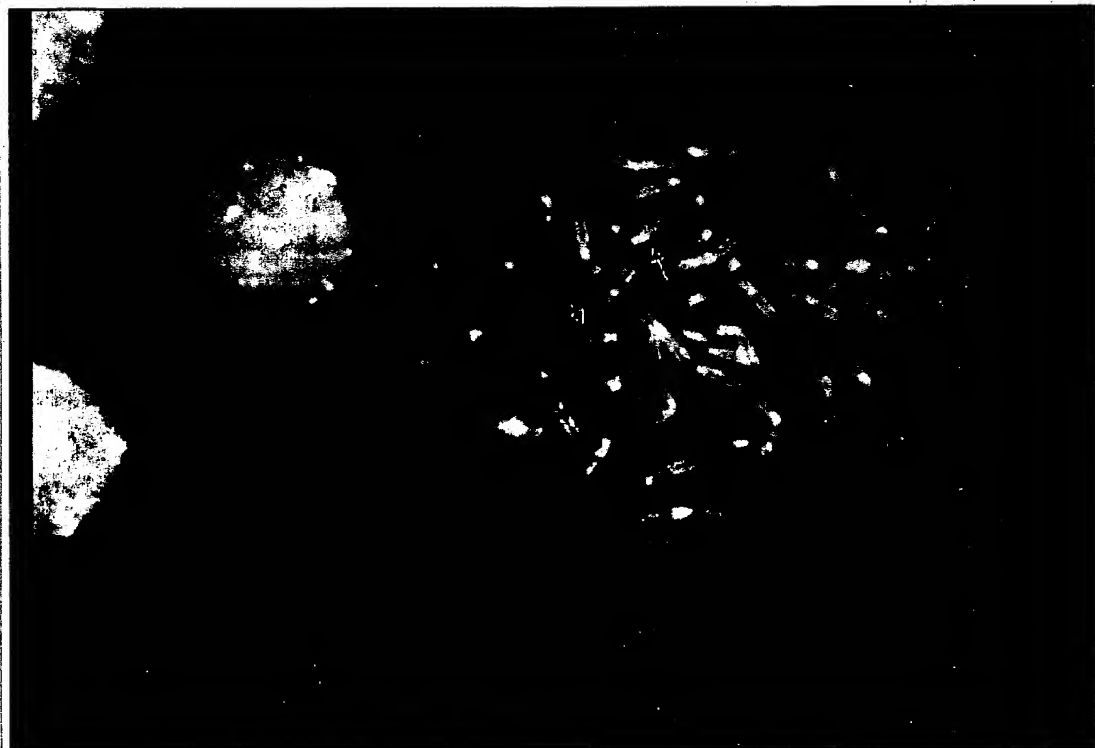


Fig. 4

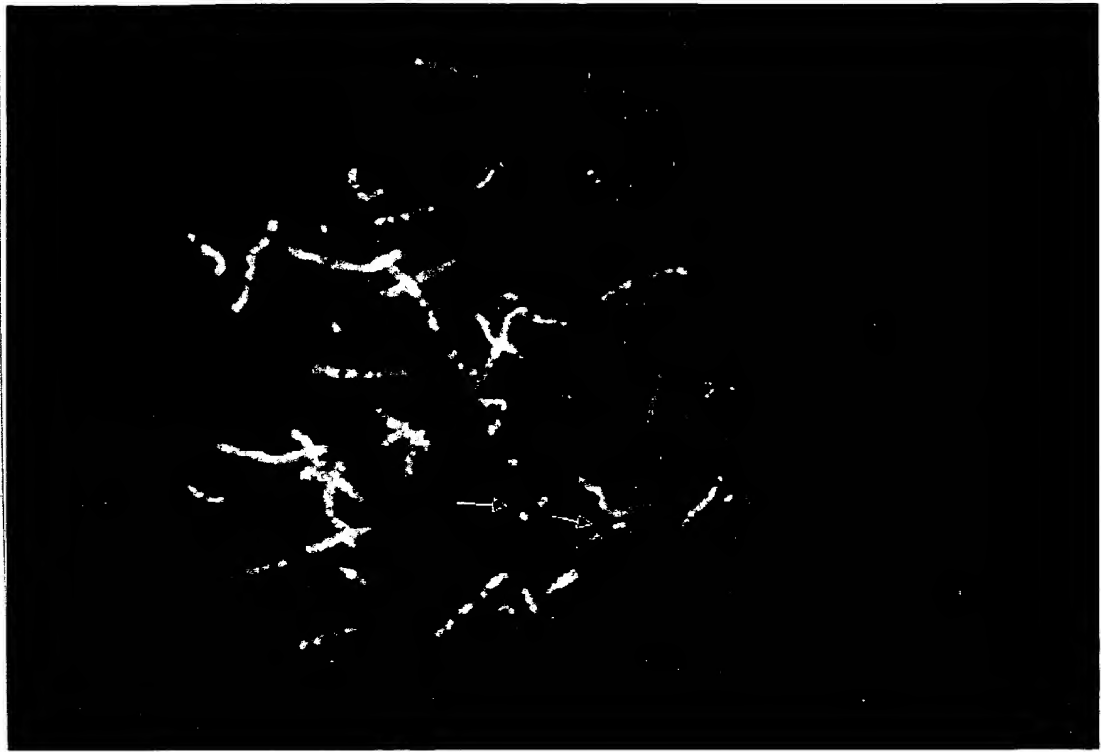


Fig. 5

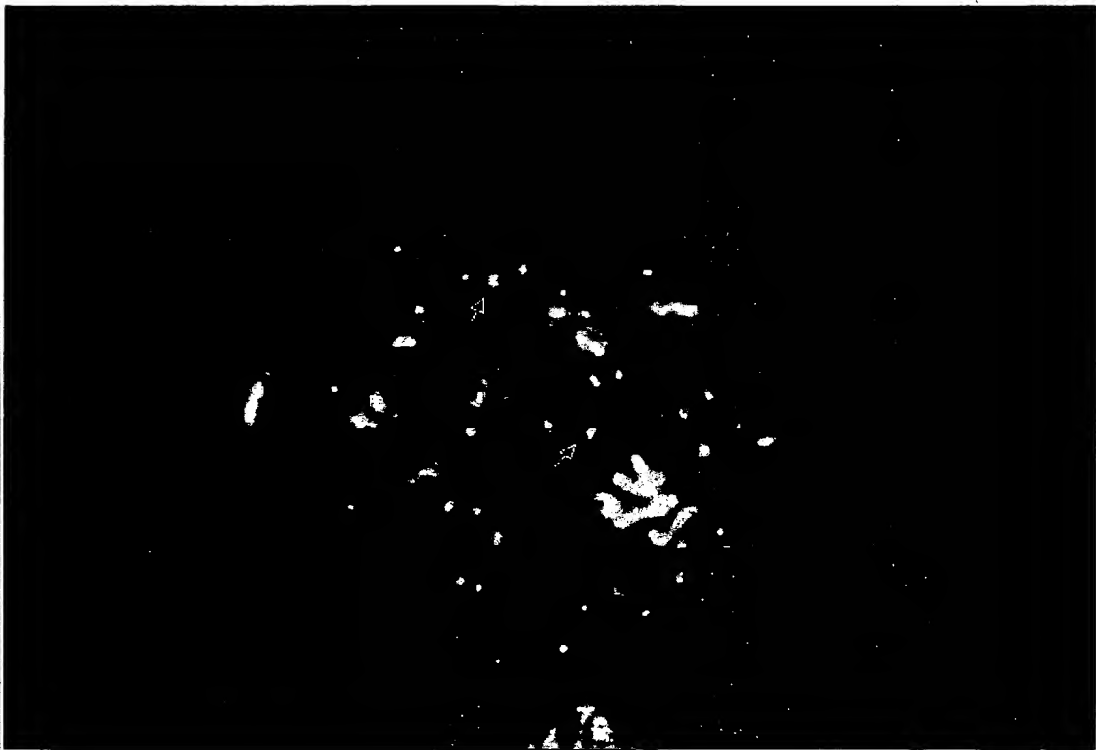


Fig. 6

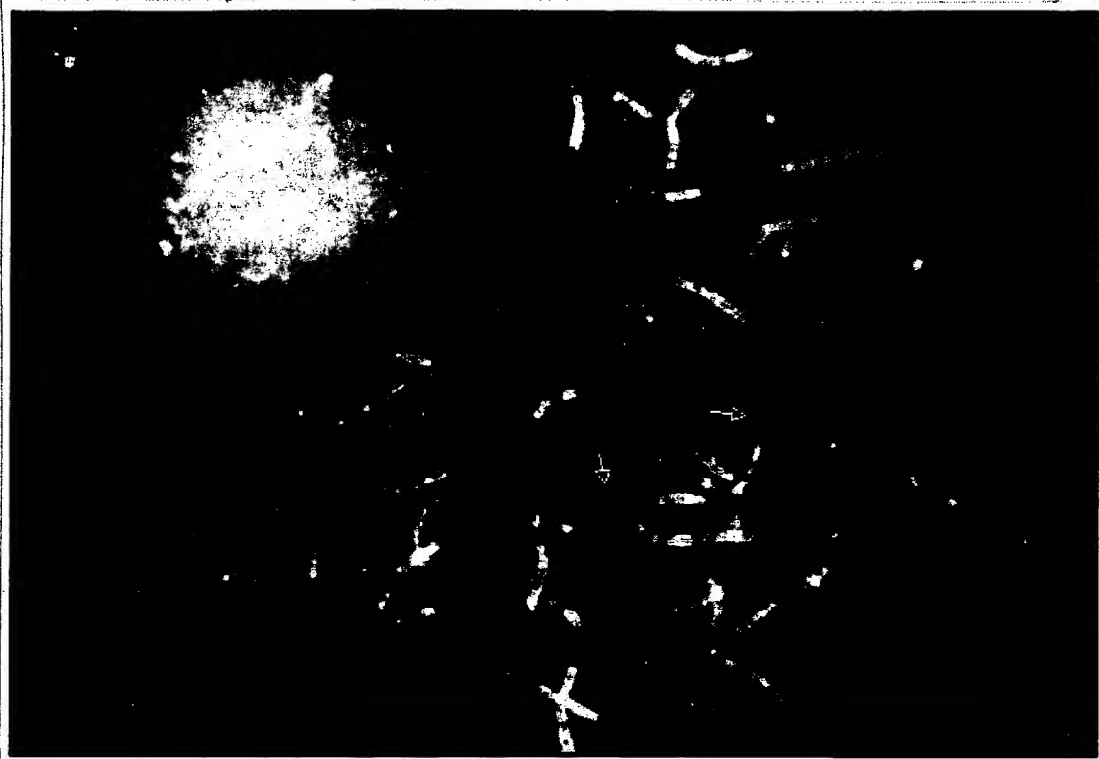


Fig. 7



Fig. 8

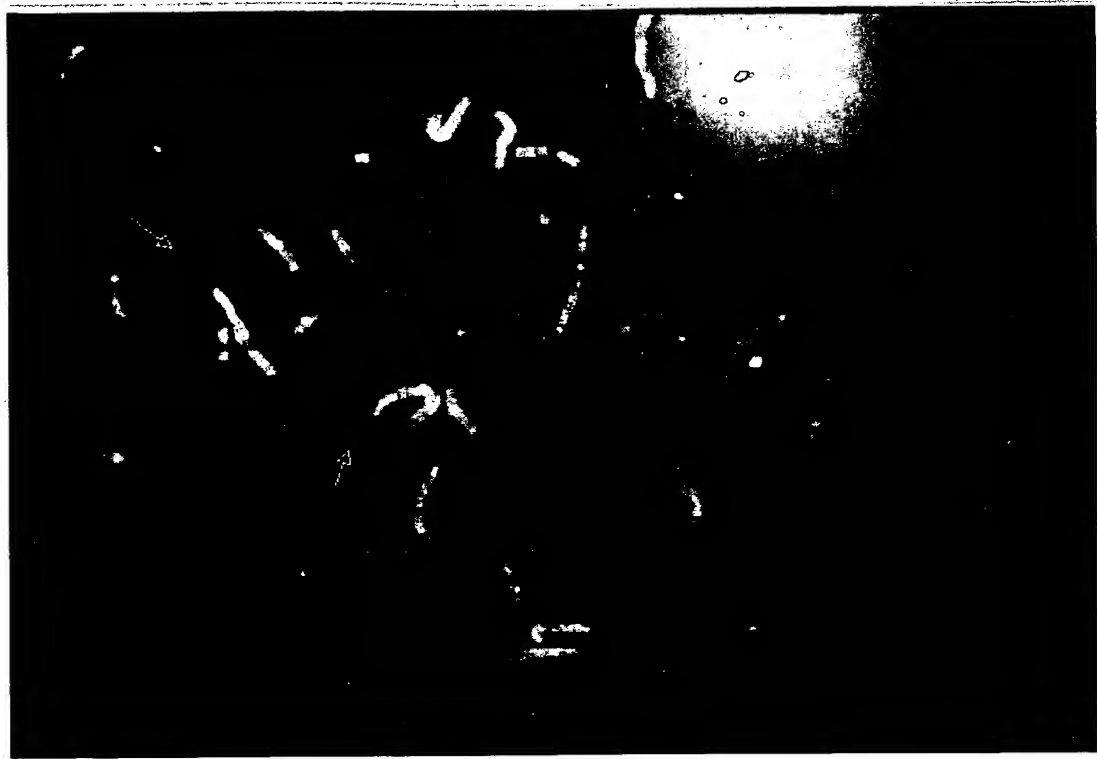


Fig. 9

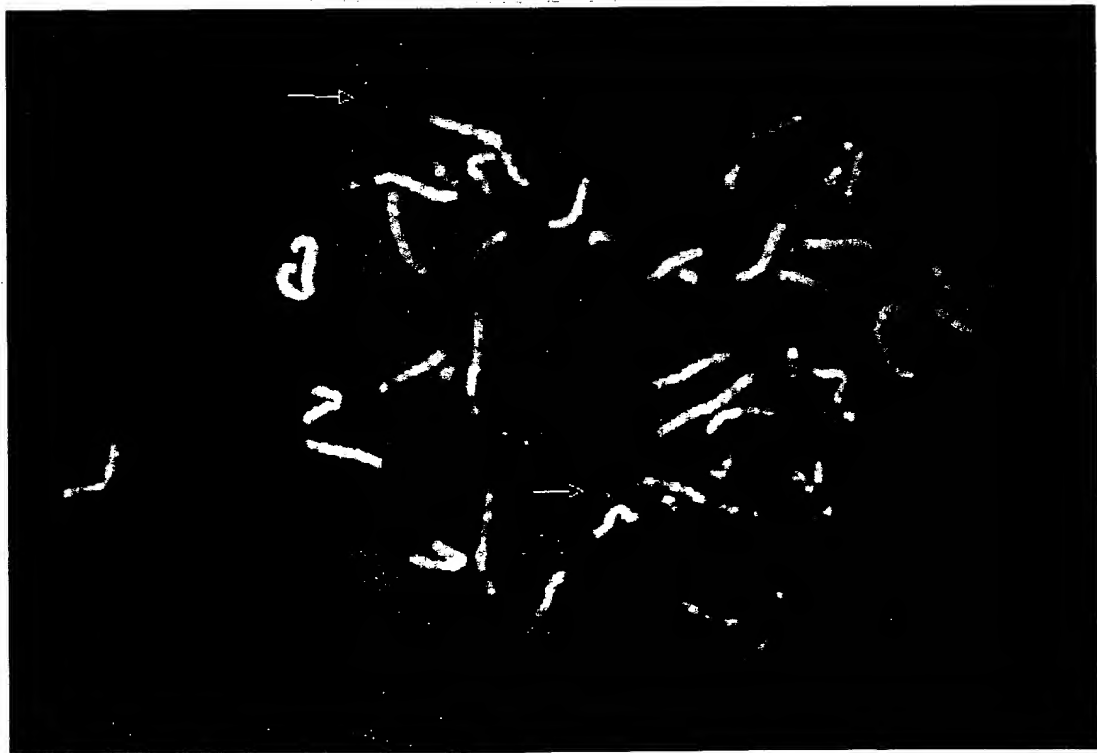


Fig. 10

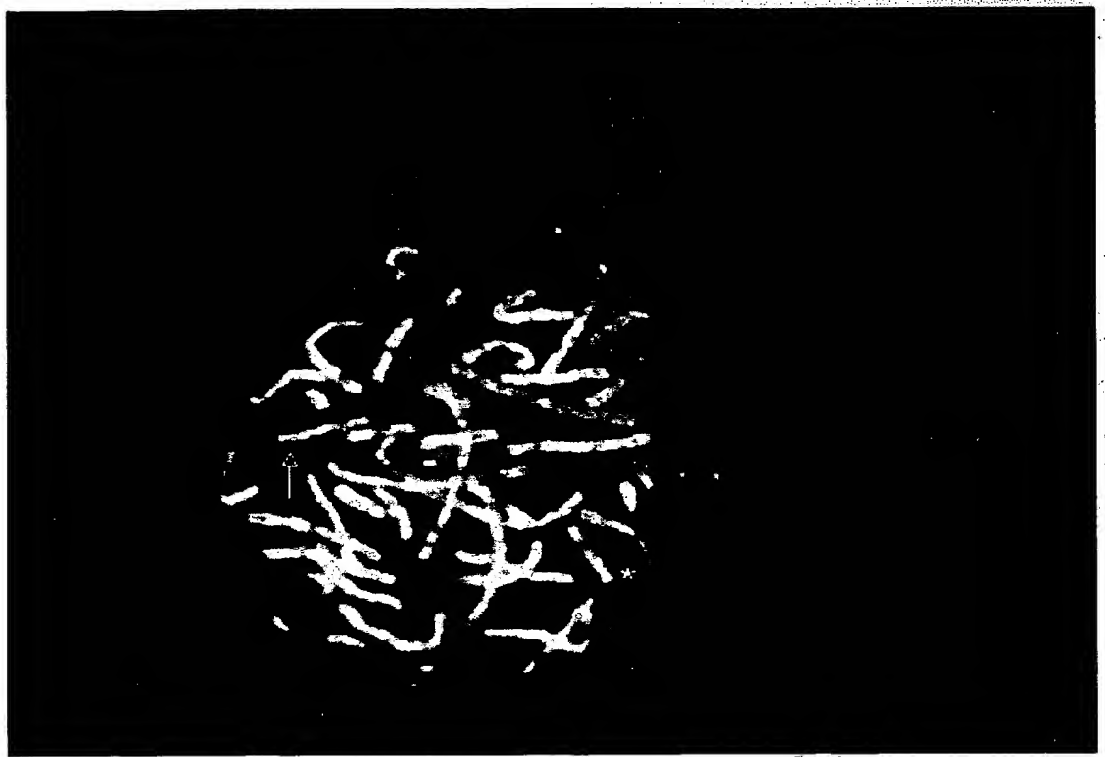


Fig. 11



Fig. 12



Fig. 15



Fig. 16



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EDUCATION

1979	B.A.	Biophysics	Johns Hopkins University
1980	D.E.A.	Cellular Biology	Université de Grenoble, France
1983	M.Phil.	Molecular Biophysics and Biochemistry	Yale University
1987	Ph.D.	Molecular Biophysics and Biochemistry (Human Genetics)	Yale University (Advisor: S. M. Weissman, M.D.)

WORK EXPERIENCE AND POSTGRADUATE TRAINING

1979 - 1980	Research Assistant, Biophysics Laboratory	Institut Laue-Langevin Grenoble, France
1984 - 1986	Consultant, Computational Molecular Biology	Dept. Human Genetics, Yale University School of Medicine
1987 - 1990	Postdoctoral Fellow, Laboratory of Eukaryotic Gene Expression	ABL/Basic Research Program National Cancer Institute, NIH
1990 - 1996	Assistant Professor, Dept. Pediatrics, Div. Genetics	College of Medicine, The Pennsylvania State University
1993	Participant, Genetic Linkage Analysis Course (Director, J. Ott)	College of Physicians and Surgeons, Columbia University
1995 - present	President	Phylogenetix Laboratories, Inc.
1996 - 1999	Associate Professor, Human Genetics	MCP Hahnemann School of Medicine
1999 - 2004	Associate Professor, Pediatrics	School of Medicine, University of Missouri-Kansas City
2001 - 2004	Associate Professor, Computer Science	School of Interdisciplinary Computing and Engineering, University of Missouri-Kansas City
2002- present	Adjunct Associate Professor	Department of Chemistry, University of Kansas
2004 - present	Professor, Pediatrics and Computer Science	Schools of Medicine and Computer Science & Engineering, University of Missouri-Kansas City

HONORS, AWARDS AND PROFESSIONAL RECOGNITION

Bourse d'Etudes (Government of France), 1979-1980
National Research Service Predoctoral Award, 1980-1984
Postdoctoral Fellowship Award, ABL-Basic Research Program, 1987-1988

Scientific Conference Coordinator: Prader-Willi Syndrome Association (National), 1992
Basil O'Conner Starter Scholar, March of Dimes, 1992-1993
Shannon Award, National Institutes of Health, 1992-1993
Elected to Human Genome Organization, 1992
Director, March of Dimes-Central PA Molecular Diagnostic Laboratory, 1993-1996
March of Dimes Birth Defects Foundation Community Service Award, 1994
Honors, Pennsylvania Society of Professional Engineers -Harrisburg chapter, 1996
Who's Who in the East, 1997
Translational Research Award, Children's Cancer Group, 1999
Professorship in Pediatric Molecular Genetics, Children's Mercy Hospital, UMKC School of Medicine, 1999
External Advisory Board; Pharmacogenetics of Anticancer Agents (PharmGKB network, NIGMS PHS), 8/2003-.

PROFESSIONAL AFFILIATIONS

Sigma XI, 1987- ; American Association for the Advancement of Science, 1984- ; American Society of Human Genetics, 1990- ; American Association for Cancer Research, 1996- ; Human Genome Organization, 1992- ; Mutation Database Association 1997-; International Society for Computational Biology 2003-

GRANTS REVIEWED

Louis B. Leakey Foundation, US; National Science Foundation, US; Action Research Charity, Great Britain; National Heart Lung and Blood Institute, NIH; University of Kansas, Department of Pharmaceutical Chemistry Training Grant, PHS 9/1999; University of Missouri Research Board, 3/2003; Kansas City Area Life Sciences Institute 8/2003.

MANUSCRIPTS REVIEWED

Nucleic Acids Research; Genomics; The American Journal of Medical Genetics; American Journal of Human Genetics; Journal of Medical Genetics; Clinical Genetics; Cytogenetics and Cell Genetics; Journal of Clinical Endocrinology and Metabolism; Acta Paediatrica; Journal of the American Medical Association; Journal of Pediatric Hematology and Oncology; Human Genetics

INVENTIONS AND PATENTS

Invention Disclosure 91-1088 (PSU); Detection of Active Genomic Transcription Templates by Synthetic Methylation in Vivo; Disclosure Date: 10/18/91; Status: Disclosed 11/91

Invention Disclosure 92-1151 (PSU); Human Genetic Mapping with Recombinant Disomic Chromosomes; Disclosure Date 6/15/92; Status: Disclosed 11/13/92 - Presented to American Society of Human Genetics

Invention Disclosure 93- 1226 (PSU) ¹Method for rapid identification of prokaryotic and eukaryotic organisms; US Patent # 5,849,492.

Invention Disclosure 94- 1339 (PSU); A Method to Define the Chromosomal Location of Disease Genes that Cause Recessive Congenital Disorders; Disclosure Date: 4/18/94

Invention Disclosure 94- 1440 (PSU/NIH); Computational analysis of nucleic acid information defines binding sites; Status: US Patent # 5,867,402, licensed 3/98

¹Rights to this invention have been acquired by Phylogenetix Laboratories, Inc.

Invention Disclosure 95- 1466 (PSU); 15qllql3 End Clones for Diagnostic Testing of Chromosome Rearrangements and Mutations; Disclosure Date: 4/26/95; Status: Disclosed 10/21/94

Invention Disclosure 95- 1551 (PSU); General Method of Detection of Minimal Residual Disease on Relapse after Non-Autologous Bone Marrow Transplant in Leukemia Patients; Disclosure Date: 12/15/95

Invention Disclosure 97- 0296 (Allegheny General Hospital); Information theory-based analysis of splice junction mutations in hereditary non-polyposis colon cancer; Disclosure Date: 8/1/97; Status: Disclosed 10/24/97

Invention Disclosure 00-0001 (Children's Mercy Hospital); Selection and generation of single-copy genomic probes for hybridization; Disclosure Date: 3/24/00; Status: Patent application Ser. No. 09/573,080, filed 5/16/00; Allowed 8/13/03; US Patent # 6,828,097 (12/7/04); Continuation application, filed 2/18/04.

Invention Disclosure 01-0001 (Children's Mercy Hospital); Single copy probes and method of generating same (Continuation-in-part); Disclosure Date: 4/7/00; Status: US Patent App. Ser. No. 09/854,867, filed 5/14/01; PCT/US01/15674.

Invention Disclosure 01-0002 (Children's Mercy Hospital); Subtelomeric DNA probes and method of producing same; Disclosure Date: 9-15-02; Status: US Patent App. Ser. No. 60/415,345, filed 9/30/02. Improved Sub-telomeric DNA Probes and Method of Producing Same, filed 07/2/03. Subtelomeric DNA probes and method of producing same, PCT\US03\31170, WO 2004/029283 A2, US Patent App. Ser # 10\676,248, filed 9/30/03.

Invention Disclosure 01-0004 (Children's Mercy Hospital); Computational selection of probes for localizing chromosome breakpoints in genetic diseases and cancer; Disclosure Date: 4/15/04; Status: US Patent App. Ser #60/557,007, filed 3/26/04.

APPROVED CLINICAL PROTOCOLS

Study Chair, B957: Genetic Etiology of Acute Leukemia in Children with Down Syndrome, Children's Oncology Group.

Study Committee, C297101: Therapy for Children with Down syndrome and acute leukemia, Children's Oncology Group.

PUBLICATIONS (in chronological order)

1. Wilson SR, Corvan PJ, Seiders RP, Hodgson DJ, Brookhart M, Hatfield WE, Miller JS, Reiss AH, Rogan PK, Gebert E, Epstein AJ : «The Structure and Magnetic and Electrical Conductivity Properties of the Charge Transfer Compound 1,1-Dimethylferrocenium Bis(tetracyanoquinodimethane), $(CH_3C_5H_3)_2Fe(TCNQ)_2$ » in *Molecular Metals*, ed. W.H. Hatfield, Plenum Press, p 407-414ff, 1979.
2. Rogan PK, Williams GJB: The structure of the dihydrofolate reductase inhibitor 2,4,6-triamino-5-chloroquinazoline. *Acta Cryst B*36:2358-2362, 1980.
3. Rogan PK, Zaccai G: Hydration in purple membrane as a function of relative humidity. *J Mol Biol* 145:281-284, 1981.

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5. Rogan PK: Restriction mapping by preferential ligation of adjacent digestion fragments. *Nucl Acids Res* 14:9219, 1986.
6. Rogan PK: A study of a major long interspersed DNA repeat family common to rodents and primates. Ph.D. dissertation. Yale University, 1987.
7. Rogan PK, Pan J, Weissman SM: A complete L1 repeat element in the human ϵ - γ globin gene intergenic region: Sequence analysis and concerted evolution within this family. *Mol Biol Evol* 4:327-343, 1987.
8. Rogan PK, Salvo JJ: Molecular genetics of pre-Columbian South American Mummies. In: Molecular Evolution. UCLA Symposium on Molecular and Cellular Biology, 122:223-234, 1990.
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10. Rogan PK, Higgins DR: Screening UV-sensitive mutants with the Stratalinker® UV crosslinker. *Strategies* 4:53-54, 1990.
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* Co-principal authors

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BOOK CHAPTERS, LETTERS AND CONFERENCE REPORTS

1. Nicholls RD, Gottlieb W, Mascari MJ, Rinchik EM, Pai GS, Driscoll DJ, Butler MG, Zori RT, Neumann PE, Waters MF, Zackowski JL, Horsthemke B, Rogan PK, Ladda RL, Williams CA: Molecular Analysis in Angelman Syndrome, Prader-Willi Syndrome and Potential Mouse Models. In: NATO Advanced Research Workshop on Prader-Willi Syndrome and Other Chromosome 15q Deletion Disorders. S.B. Cassidy (ed). Springer-Verlag, Berlin, 1992.
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ABSTRACTS

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1991-1995 Scientific Advisor, Angelman Syndrome Foundation
1992- Scientific Advisor, Canadian Angelman Syndrome Society
1994- Scientific Advisor, Scientific Advisor, Inverted Duplication 15
Education and Advocacy Group
1994- Member, Technology Transfer Subcommittee for diagnostic testing of Angelman and
Prader-Willi syndromes, American College of Medical Genetics
1996- Co-organizer of Third International Chromosome 15 Workshop in Vancouver, BC, October 1996
1999- Member, UM Columbia/Children's Mercy Hospital Genetics Fellowship Joint Training Program

- 2001 Judge, Fellows, Residents & Students Research Presentations, Children's Mercy Hospital's Research Days, June 2001
- 2002-03 Advising Member, Johnson County Community College Biotechnology Steering Committee, Overland Park, KS
- 2003- Member, Basic Sciences Research Committee, Children's Mercy Hospitals, Kansas City

Memberships and Committee Assignments in Professional Societies:

- 1986- American Society of Human Genetics
- 1989- Reviewer for Genetics journals (American Journal of Human Genetics, American Journal of Medical Genetics, Cancer Genetics and Cytogenetics, Cytogenetics and Cell Genetics, Genomics, Human Molecular Genetics, New England Journal of Medicine)
- 1991-97 Member, Board of Directors, Angelman Syndrome Foundation
- 1992- Fellow in Canadian College of Medical Genetics
- 1992- Canadian Angelman Syndrome Society
- 1993- Founding Fellow in American College of Medical Genetics
- 1993- Member, Prenatal Diagnosis Committee of New England Regional Genetics Group
- 1993-99 Cytogenetics Faculty Organizer and Member, Harvard Training Fellowship Program in Genetics
- 1994- Member, Inverted Duplication 15 Education and Advocacy Group
- 1999-2004 National Committee for Clinical Laboratory Standards subcommittee advisor on Fluorescence In Situ Hybridization for Medical Genetics for FISH Validation (Report issued 2004)
- 2000, 2001 Laboratory Inspector, College of American Pathologists
- 2001- Active Member, American Cancer Research Association

Major Research Interests:

1. Chromatin/chromosomal structure
2. Genetic imprinting of chromosome 15q11q13 in Angelman syndrome, Prader-Willi syndrome, and duplication syndromes
3. Phenotype/genotype relationships in human genetic disorders
4. Molecular mechanisms causing chromosomal rearrangements
5. Bioinformatics and development of new DNA technologies for detection of human genetic disorders

Principal Clinical and Hospital Service Responsibilities:

- 1991-1999 Scientific Director, Clinical Cytogenetics Service, Beth Israel Hospital, Boston
- 1999- Director, Clinical Cytogenetics, Children's Mercy Hospital, Kansas City, MO

Teaching:

- 1992-99 Genetics Laboratory Tutorials: Demonstrator, first year Harvard medical students
- 1992-99 Cytogenetics lectures, Beth Israel Hospital: Lecturer, first through fourth year Pathology residents
- 1992-99 Cytogenetics Laboratory Rotations: Director of service, students, second year Pathology residents and Genetics Fellows in Harvard Training Program in Genetics, ~5 per year at one month each
- 1993-99 Cytogenetic and molecular genetics lectures for fellows in Harvard Training Program in Genetics
- 1996, 1997 Prenatal Diagnosis Lecture to students in HMS/MIT Reproductive Biology program
- 1999- Management of Continuing Medical Education Lectures at Children's Mercy Hospitals
- 2001- Clinical Cytogenetics, UMKC Medical Students (3rd Year)
- 2001- Mentor/Molecular Biology and Cytogenetics Instructor to two Ph.D. Candidates from Kansas University's Department of Chemistry

Advising Responsibilities:

1994 Medical student in research laboratory (Nina Livingston).
1992-1993 Postdoctoral fellow in research laboratory. (Dr. Sou-De Chang who is currently head of Department of Anatomy. Chang Gung University, College of Medicine, Taipei Taiwan).
1993-99 Research technicians (Lisa White, Heather Baker).
1991-99 Clinical technologists (Alena Leff, Min Zhang, Amy Tillman, Shoshana York, JoAnn Rosol-Donoghue, Camille Marsh Scott).
1992-99 Clinical pathology residents rotating through the clinical laboratory
1992-99 Faculty, Harvard Genetics Training program
1995-97 Medical graduate student in research laboratory (Dr. Christine Mundlos).
1996-98 Clinical genetics fellow in research laboratory (Dr. Gabriella Repetto who is now director of clinical genetics services in University Catolica de Chile, Santiago, Chile).
1999- 16 clinical laboratory technical staff, 2 office assistants (minimal turnover in last 5 years).
1999- 2 research laboratory technical staff (Patrick Angell, Mauricio Miralles; previous: Angela Marion, Patricia Walters, Patricia Cazcarro, Brad Dalton), 0.5 office assistant (Ann Lowenstein; previous: Amy Wolfe).

Patents/Patent Applications:

Invention Disclosure 00-0001 (Children's Mercy Hospital); Selection and generation of single-copy genomic probes for hybridization; Disclosure Date: 3/24/00; Status: Patent application Ser. No. 09/573,080, filed 5/16/00; Allowed 8/13/03; Continuation application, filed 2/18/04. Patent #6,828,097 issued 12/08/04.

Invention Disclosure 01-0001 (Children's Mercy Hospital); Single copy probes and method of generating same (Continuation-in-part); Disclosure Date: 4/7/00; Status: US Patent App. Ser. No. 09/854,867, filed 5/14/01; PCT/US01/15674.

Invention Disclosure 01-0002 (Children's Mercy Hospital); Subtelomeric DNA probes and method of producing same; Disclosure Date: 9-15-02; Status: US Patent App. Ser. No. 60/415,345, filed 9/30/02. Improved Sub-telomeric DNA Probes and Method of Producing Same, filed 07/2/03. Subtelomeric DNA probes and method of producing same, PCTUS03/31170, WO 2004/029283 A2, US Patent App. Ser # 10/676,248, filed 9/30/03.

Invention Disclosure 01-0004 (Children's Mercy Hospital); Computational selection of probes for localizing chromosome breakpoints in genetic diseases and cancer; Disclosure Date: 4/15/04; Status: US Patent App. Ser #60/557,007, filed 3/26/04.

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Original Reports:

1. Knoll JHM. Frequency and replication pattern of fragile Xq28 in human heterozygotes from families with X-linked mental retardation. University of Saskatchewan, 1982.
2. Knoll JHM. Roberts Syndrome: Cytological and molecular investigations. University of Manitoba, 1987. (Ph.D. Thesis).
3. Chudley AE, Knoll JH, Gerrard JW, Shepel L, McGahey E, Anderson J. Fragile X-linked mental retardation I: Effect of age and intelligence on expression of the fragile X. *Amer J Hum Genet* 1983; 14:699-712.
4. Knoll JH, Chudley AE, Gerrard JW. Fragile X-linked mental retardation II: Frequency and replication

- pattern of fragile Xq28 in heterozygotes. *Amer J Hum Genet* 1984; 36:640-645.
5. Chudley AE, Rozdilsky B, Houston CS, Becker LE, Knoll JH. Multicore disease in sibs with severe mental retardation, short stature, facial anomalies, hypoplasia of the pituitary fossa, and hypogonadotropic hypogonadism. *Amer J Med Genet* 1985; 20:145-158.
 6. Hagerman RJ, Chudley AE, Knoll JH, Jackson AW, Kemper M, Ahmad R. Autism in fragile X females. *Amer J Med Genet* 1986; 23:375-380.
 7. Heartlein MW, Knoll JHM, Latt SA. Chromosome instability associated with human alphoid DNA transfected into the Chinese hamster genome. *Mol Cell Biol* 1988; 8(9):3611-3618.
 8. Knoll JHM, Nicholls RD, Magenis E, Graham JM Jr, Lalande M, Latt SA. Angelman and Prader-Willi syndromes share a common chromosome 15 deletion but differ in parental origin of the deletion. *Amer J Med Genet* 1989; 32(2):285-290.
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 10. Knoll JHM, Nicholls RD, Lalande M. On the parental origin of chromosome 15q11q13 in Angelman Syndrome. *Hum Genet* 1989; 83:205-206.
 11. Nicholls RD, Knoll JHM, Butler MG, Karam S, Lalande M. Genetic imprinting suggested by maternal heterodisomy in nondeletion Prader-Willi syndrome. *Nature* 1989; 342:281-285.
 12. Knoll JHM, Nicholls RD, Magenis RE, Glatt K, Graham JM Jr, Kaplan L, Lalande M. Angelman Syndrome: Three molecular classes identified with chromosome 15q11q13 specific DNA markers. *Amer J Hum Genet* 1990; 47:149-155.
 13. Bianchi DW, Flint AF, Pizzimenti MF, Knoll JHM, Latt SA. Isolation of fetal DNA from nucleated erythrocytes in maternal blood. *Proc Nat Acad Sci* 1990; 87(9):3279-3283.
 14. Knoll JHM, Glatt K, Nicholls RD, Malcolm S, Lalande M. Chromosome 15 uniparental disomy is not frequent in Angelman Syndrome. *Amer J Hum Genet* 1991; 48:16-21.
 15. Wagstaff J, Knoll JHM, Fleming J, Kirkness EF, Martin-Gallardo A, Greenberg F, Graham JM Jr, Menninger J, Ward D, Venter JC, Lalande M. Localization of the gene encoding the GABA_A receptor B3 subunit to the Angelman/Prader-Willi region of human chromosome 15. *Amer J Hum Genet* 1991; 49:330-337.
 16. Chaillet JR, Knoll JHM, Horsthemke B, Lalande M. The syntenic relationship between the critical deletion region for the Prader-Willi/Angelman syndromes and proximal mouse chromosome 7. *Genomics* 1991; 11:773-776.
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18. Beggs AH, Byers TJ, Knoll JHM, Boyce FM, Bruns G, Kunkel LM. Cloning and characterization of two human skeletal muscle alpha-actinin genes on chromosomes one and eleven. *J Biol Chem* 1992; 267:9281-9288.
19. Wagstaff J, Knoll JHM, Glatt KA, Shugart YY, Sommer A, Lalande M. Linkage of nondeletion Angelman syndrome to chromosome 1511-q13: Maternal but not paternal transmission leads to phenotypic expression. *Nature Genetics* 1992; 1:291-294.
20. Webb T, Clayton-Smith J, Cheng X-J, Knoll JHM, Lalande M, Pembrey ME, Malcolm S. Angelman syndrome with a chromosomal inversion 15 (p11q13) accompanied by a deletion in 15q11q13. *J Med Genet* 1992; 29:921-924.
21. Knoll JHM, Wagstaff J, Lalande M. Cytogenetic and molecular studies in Prader-Willi and Angelman syndromes. *Amer J Med Genet* 1993; 17:694-698.
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24. Peters K, Knoll JHM. Diagnosis of tumors: The application of cytogenetics and fluorescence-in-situ-hybridization. *Verh Dtsch Ges Zyt* 1993; 18:66-68.
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51. Repetto GR, Korf BR, Wagstaff J, Knoll JHM. Complex familial rearrangement of chromosome 9p24 detected by FISH. *Amer J Med Genet*, 1998; 76:306-309.
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68. Rogan PK, Knoll JHM: High Resolution Definition of Chromosome Abnormalities with Probes Designed from Genome Sequences, *Discovery Medicine*, 21(4): 99-101, 2004.
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5. Rogan PK, Cazcarro P, Knoll JHM. Single copy hybridization probes derived by genomic sequence analysis. American Society of Human Genetics, October 2000

6. Rogan PK, Cazcarro P, Knoll JHM: Single copy hybridization probes for detection of chromosome rearrangements derived by genomic sequence analysis. American Association for Cancer Research, March 2001.
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8. Rogan PK, Cazcarro P, Knoll JHM: Novel features of genome organization revealed by single copy FISH. American Society of Human Genetics, October 2001.
9. Cazcarro P, Rogan PK, Knoll JHM: Rapid sequence-based definition of chromosomal abnormalities. American Society of Human Genetics, October 2001.
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11. Knoll JHM, Angell P, Rogan PK: Detection of chromosomal rearrangements with single copy FISH probe arrays. American Society of Human Genetics, October 2002.
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13. J.H.M. Knoll & P.K. Rogan. Detection of Chromosomal Rearrangements with Single Copy FISH. XIX International Congress of Genetics, July 2003.
14. Joan H.M. Knoll and Peter Rogan. Personalized Molecular Cytogenetic Diagnosis. 3rd Annual Kansas City Area Life Sciences Day, June 2004.
15. Knoll JHM, Marion AM, Fletjer W, Persons D, Cowan J, Rogan PK. Small upstream deletions of *ABL* 1 are rare in Chronic Myelogenous Leukemia. 2004 ASHG Annual Meeting, November, 2004.
16. Knoll JHM, Rogan P. Applications of personalized molecular cytogenetic diagnostics. 2004 ASHG Annual Meeting, November, 2004.
17. Yanala P., Lu T., El-Ghussein F., Zhao C., Medhi D., Wang Y-P., Knopp J., Knoll JHM, Rogan P. Automated detection of metaphase chromosomes in FISH and routine cytogenetics. 2004 ASHG Annual Meeting, November 2004.

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